# Cephalometric evaluation of vertical and anteroposterior changes associated with the use of bonded rapid maxillary expansion appliance

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#### Abstract

**Introduction:** Bonded rapid maxillary expansion appliances have been suggested to control increases in the vertical dimension of the face after rapid maxillary expansion but there is still no consensus in the literature concerning its actual effectiveness. **Objective:** The purpose of this study was to evaluate the vertical and anteroposterior cephalometric changes associated with maxillary expansion performed using bonded rapid maxillary expansion appliances. **Methods:** The sample consisted of 25 children of both genders, aged between 6 and 10 years old, with skeletal posterior crossbite. After maxillary expansion, the expansion appliance itself was used for fixed retention. Were analyzed lateral teleradiographs taken prior to treatment onset and after removal of the expansion appliance. **Conclusion:** Based on the results, it can be concluded that the use of bonded rapid maxillary expansion appliance did not significantly alter the children's vertical and anteroposterior cephalometric measurements.

Keywords: Bonded rapid maxillary expansion appliance. Rapid maxillary expansion. Cephalometry.

#### INTRODUCTION

Rapid maxillary expansion (RME) is a widely accepted procedure recommended for the correction of maxillary atresia related to posterior crossbite.<sup>7,8</sup> The opening of the midpalatal suture causes increases in maxillary width and dental arch perimeter, allowing the coordination of the upper and lower basal bones and crossbite correction. As well as the correction of transverse discrepancy, however, RME also promotes changes such as inferior displacement of the maxilla, extrusion and inclination of maxillary and mandibular molars, clockwise rotation of the mandible, with a resulting increase in facial height and anterior open bite.<sup>4,14,15,20,21,26</sup>

In 1860, Angell<sup>1</sup> reported the first maxillary expansion case using an appliance with a screw placed across the maxilla. Since then, different appliances have been suggested for hemi maxillary separation, all featuring modifications, especially in the type of material and anchoring, and different activation modes.<sup>5,10,12,14,18,22,23</sup>

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Bonded rapid maxillary expansion appliance have been proposed to control the side effects of RME, which may be associated with adverse increases in anterior facial height, especially in individuals with a predominantly vertical growth pattern and a tendency towards open bite.<sup>2,10,17,18,20,22,24</sup> No consensus has been found in the literature, however, concerning the RMErelated vertical and anteroposterior effects produced with this type of appliance.<sup>2,7,9,13,19,20,24,25</sup>

The purpose of this study was to evaluate lateral teleradiographs for possible vertical and anteroposterior changes resulting from the use of bonded rapid maxillary expansion appliance for the correction of skeletal posterior crossbite in children.

## MATERIAL AND METHODS Sample

The sample comprised 25 children (13 girls and 12 boys), irrespective of gender, race or social class, with a mean age of 8 years and 5 months (ranging from 6 years and 11 months to 10 years and 11 months) presenting with maxillary atresia and either unilateral or bilateral posterior crossbite, indicated for maxillary expansion as the first stage of orthodontic treatment. Maxillary atresia was detected based on clinical parameters characterized by the presence of posterior crossbite associated with a deep palate, "V"-shaped maxillary arch and reduced transverse maxillary dimensions compared with the mandible. This study was approved by the Research Ethics Committee of the Ribeirão Preto School of Dentistry, University of São Paulo (FORP / USP - Case No 2003.1.1067.58.8), and the children's parents and/or guardians signed a consent form, according to resolution 196/96 of the Brazilian Health Council.

The children included in the sample had received no previous orthodontic treatment and exhibited good general and oral health. Their upper and lower first permanent molars had erupted and were in occlusion. The orthodontic documentation comprised panoramic and occlusal X-rays, lateral and frontal cephalometric radiographs, intraoral photographs and study models.

# Rapid maxillary expansion

RME was performed using bonded rapid maxillary expansion appliance, made from colorless acrylic resin covering the posterior teeth (Jet; Artigos Odontológicos Clássico Ltda, São Paulo, SP, Brazil) and a palatal expansion screw (split screw, 9 mm, code 65.05.011; Dental Morelli, Sorocaba, SP, Brazil) positioned on the midpalatine raphe at about 2 mm from the palate and between the primary second molars (Fig 1). The appliance was adjusted in the patient's mouth in order to ensure as many bilateral occlusal contacts as possible, and was then attached using dual-curing acrylic resin cement adhesive (Rely X: 3M do Brasil Ltda., Produtos Dentários, Sumaré, SP, Brazil).



FIGURE 1 - Bonded rapid maxillary expansion appliance.

Activation was carried out by the children's parents and/or guardians and amounted to 1/4 turn of the screw every 12 hours, starting one week after appliance installation. When crossbite overcorrection was observed, i.e., when the palatal cusps of the upper posterior teeth were occluding on the buccal cusps of the lower posterior teeth, the expander screw was fixed with acrylic resin and a new occlusal adjustment was made. The average interval time between activations was 20 days (ranging between 14 and 26 days) and the appliance remained in the patients' mouth as fixed retention for a minimum of 90 days (107 days average, ranging from 90 to 124 days). After this period, the appliance was removed and patients wore a removable retainer (acrylic plate with a Hawley labial clasp and retention clasps) for 6 months.

### **Cephalometric evaluation**

Lateral teleradiographs were taken before treatment onset (T1) and after removal of the expansion appliance (T2). The cephalometric radiographs were performed in standardized fashion by a single technician in the Laboratory of Analysis and Control of Dental Radiographic Images (LACIRO), at FORP-USP.

The cephalometric tracings were performed manually by the same experienced and calibrated examiner. The following cephalometric landmarks were located and marked on the lateral cephalograms (Fig 2):

- Sella (S): Virtual point located at the geometric center of the sella turcica.
- Nasion (N): The anterior-most point of the frontonasal suture.
- Subspinal Point (A): The deepest point of the subspinal concavity.
- Supramental Point (B): The deepest point of the supramental concavity.
- Anterior Nasal Spine Point (ANS): Located at the anterosuperior end of the maxilla.

- Posterior Nasal Spine Point (PNS): Located at the posterior end of the maxilla.
- Basion (Ba): Lowest point of the image of the anterior margin of the foramen magnum.
- Pterygoid Point (Pt): Posterior-most and superior-most point in the upper contour of the pterygomaxillary fissure.
- Pogonion (Pg): Anterior-most point of the bony chin.
- Gnathion (Gn): The anterior-most and inferior-most point of the mandibular symphysis, as determined by bisecting the angle formed by the lower margin of the mandibular body and the facial line (NPg).
- Menton (Me): Located at the intersection of the outer contour of the mandibular symphysis and the inferior margin of the mandibular body.
- Gonion (Go): Located in the outer contour of the gonial angle, determined by bisecting the angle between the mandibular ramus and the lower margin of the mandibular body.

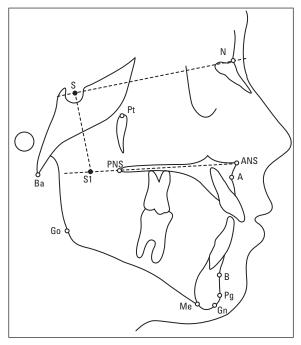


FIGURE 2 - Lateral cephalogram and location of cephalometric landmarks.

• Point S1: Connection point between a line drawn from Point S—perpendicularly to the SN line—and the palatal plane (junction of ANS and PNS).

After locating and marking the landmarks the following lines and planes of orientation were traced:

- S-N Line: Connecting S to N.
- N-A Line: Connecting N to A.
- N-B Line: Connecting N to B.
- S-Gn Line: Connecting S to Gn.
- Ba-N Line: Connecting Ba to N.
- Pt-Gn Line: Connecting Pt to Gn.
- N-ANS Line: Connecting N to ANS.
- ANS-Me Line: Connecting ANS to Me.
- N-Me Line: Connecting N to Me.
- Steiner's mandibular plane (GoGn): Determined by Go and Gn.
- Palatal plane (PP): Determined by ANS and PNS.
- Occlusal Plane (Ploc): Determined by intersecting the landmarks of the first

permanent molars and intersecting the upper and lower incisors.

To assess the anteroposterior behavior of the apical bases, the following cephalometric measurements were used (Fig 3):

- SNA Angle: Formed by intersecting the SN and NA lines. Measures the position of the maxilla relative to the anterior cranial base.
- SNB Angle: Formed by intersecting the SN and NB lines. It measures the position of the mandible relative to the anterior cranial base.
- ANB Angle: Determined by the difference between SNA and SNB. It measures the anteroposterior relationship between maxilla and mandible.

To assess the vertical behavior of the apical bases, we used the following cephalometric measurements (Fig 3 and 4):

• S-S1: linear measurement determined by the junction of the S and S1 landmarks.

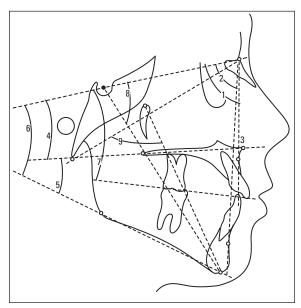


FIGURE 3 - Lateral cephalogram and location of the vertical and anteroposterior angular cephalometric measurements: (1) SNA angle, (2) SNB angle, (3) ANB angle, (4) SN.PP angle, (5) PP.GoGn angle, (6) SN.GoGn angle, (7) SN.Ploc angle; (8) SN.Gn angle; (9) Facial Axis.

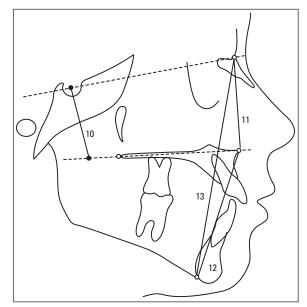


FIGURE 4 - Lateral cephalogram and location of linear cephalometric measurements: (10) Linear S-S1 measurement, (11) Linear N-ANS measurement, (12) Linear ANS-Me measurement, (13) Linear N-Me measurement.

- SN.PP Angle: Formed by intersecting the PP plane with the SN line. Reflects the degree of inclination of the maxilla relative to the anterior skull base.
- PP.GoGn Angle: Formed by intersecting the PP plane with the GoGn line. Reflects the inclination of the mandible relative to the palatal plane.
- SN.GoGn Angle: Formed by intersecting the GoGn plane with the SN line. Reflects the degree of inclination of the mandible relative to the anterior cranial base.
- SN.Ploc Angle: Formed by intersecting the SN line with the occlusal plane. Reflects the degree of inclination of the maxilla relative to the anterior cranial base.
- SN.Gn Angle: "Y"-growth axis, formed by intersecting the SN and SGn lines, shows the direction of mandibular growth.
- Facial Axis (BaN.PtGn Angle): Formed by

intersecting the BaN and PtGn lines. Shows the direction of mandibular growth.

- N-ANS: Linear measurement determined by the junction of the N and ANS landmarks. Reflects the anterior superior height of the face.
- ANS-Me: Linear measurement determined by the junction of the Me and ANS landmarks. Reflects the anteroinferior height of the face.
- N-Me: Linear measurement determined by the junction of the N and Me landmarks. Reflects the anterior facial height.

## Data analysis and statistics

The cephalometric data were statistically analyzed using SPSS software version 10.0 for Windows (SPSS Inc., Chicago, IL, USA) and the paired t-test was used to compare pre and post-expansion.

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MEASUREMENTS	Pre-expansion (T1)		Post-expansion (T2)		Difference (T2-T1)		Paired t-test	
	mean	s.d.	mean	s.d.	mean	s.d.	variation	"p" values
Anteroposterior								
SNA (degrees)	80.76	4.40	81.12	4.31	0.36	1.93	-0.43 to 1.15	0.361
SNB (degrees)	77.24	4.77	77.44	4.69	0.20	1.32	-0.34 to 0.74	0.457
ANB (degrees)	3.52	2.48	3.68	2.86	0.16	1.46	-0.44 to 0.76	0.590
Vertical								
SN.PP (degrees)	7.88	3.44	7.40	3.31	-0.48	1.75	-1.20 to 0.24	0.158
PP.GoGn (degrees)	29.40	4.17	29.92	3.35	0.52	2.16	-0.37 to 1.41	0.241
SN.GoGn (degrees)	37.28	5.31	37.36	4.79	0.08	1.60	-0.58 to 0.74	0.805
SN.Ploc (degrees)	19.24	3.97	19.00	4.67	-0.24	2.87	-1.42 to 0.94	0.680
SN.Gn (degrees)	68.88	4.52	68.92	4.61	0.04	1.05	-0.39 to 0.47	0.852
Facial Axis (degrees)	85.16	3.28	85.04	4.01	-0.12	2.12	-0.99 to 0.75	0.780
N-ANS (mm)	45.96	2.92	46.52	3.76	0.56	1.41	-0.02 to 1.14	0.060
ANS-Me (mm)	63.08	4.06	63.72	3.92	0.64	1.97	-0.17 to 1.45	0.119
N-Me (mm)	106.72	5.07	107.76	5.24	1.04	1.83	0.28 to 1.79	0.009*

TABLE 1 - Mean, standard deviation and statistical significance of the cephalometric variables before and after expansion (n = 25).

\* Statistical significance: p < 0.01.

To obtain method error, 10 radiographs were retraced of 10 different, randomly selected patients after a minimum three month interval time. Dahlberg's formula<sup>11</sup> was applied to estimate error magnitude and the paired t-test to detect statistical significance.

### RESULTS

The values (mean and standard deviation) of each cephalometric variable measured before treatment (T1) and after expansion and removal of the expansion appliance (T2) are shown in Table 1. The mean, standard deviation, variation in the difference between the values of T1 and T2 and statistical significance ("p" values) can be found in Table 1.

In assessing the anteroposterior behavior of the apical bases after maxillary expansion an increase in the means of the SNA (0.36°), SNB (0.20°) and ANB (0.16°) angles was observed, although the changes were not statistically significant (p > 0.01).

In assessing the vertical behavior of the apical bases after maxillary expansion an increase in the means of variables PP.GoGn ( $0.52^{\circ}$ ), SN.GoGn ( $0.08^{\circ}$ ) and SN.Gn ( $0.04^{\circ}$ ) and a decrease in SN.PP ( $-0.48^{\circ}$ ), SN.Ploc ( $-0.24^{\circ}$ ) and Facial Axis ( $-0.12^{\circ}$ ) were observed. These changes, however, were not statistically significant (p > 0.01).

As for the behavior of the facial heights, after maxillary expansion an increase in the means of variables N-ANS (0.56 mm), ANS-Me (0.64 mm) and N-Me (1.04 mm) was noted, with a statistically significant increase (p < 0.01) only for N-Me.

Method error was greater than 0.5 mm and statistically significant (p < 0.05) only for the anterior facial height measurement (N-Me).

### DISCUSSION

Since the RME early studies, several investigations have evaluated transverse, vertical and anteroposterior cephalometric changes associated with the opening of the sutures using different types of appliances. Currently, in view of RME's positive and proven results, it has become a widely accepted procedure used to increase the transverse dimension of the maxilla. On the other hand, the literature is not unanimous about the actual vertical and anteroposterior orthopedic effects associated with the RME and its potential benefits or harm in orthodontic treatment.

This study showed that, with the exception of N-Me, no vertical change exceeded 1° or 1 mm. Thus, in addition to a lack of statistical significance, the vertical changes occurring after RME—when using the bonded rapid maxillary expansion appliance—are also devoid of clinical significance. Although the 1.04 mm increase in anterior face height (N-Me) was statistically significant (p < 0.01), this change does not cause any clinical losses. Moreover, such change may be related to the method error, which was 0.8 mm and proved significant (p < 0.05) for the anterior face height measurement (N-Me).

Thus, it was found that RME—when performed using the bonded rapid maxillary expansion appliances—did not cause posteroinferior mandibular displacement, nor did it increase the children's anterior facial height. Contrary to these findings, studies conducted with Haas and Hyrax style appliances show that RME fosters inferior displacement of the maxilla, alveolar process inclination, extrusion and buccal inclination of posterior teeth, which result in posteroinferior mandibular rotation and increased lower anterior facial height.<sup>4,14,15,21,26</sup>

Bonded rapid maxillary expansion appliances have been proposed by different authors, who have reported that anteroinferior facial height control may result from intrusion, inhibition of alveolar growth and eruption of posterior teeth, decreased axial inclination and extrusion of encapsulated teeth in comparison to what occurs with conventional Haas and Hyrax type expanders<sup>2,10,12,17,18,20,22,23</sup>. In agreement with the present study, Asanza et al<sup>2</sup> did not see a significant increase in anteroinferior facial height (ANS-Me) after RME had been performed using bonded rapid maxillary expansion appliances. According to the authors, both inferior displacement of the maxilla and mandibular plane inclination are greater with Hyrax-type appliances. In Sarver and Johnston's view,<sup>20</sup> inferior displacement of the maxilla and mandible is decreased when bonded rapid maxillary expansion appliances are used due to the action of the levator muscles and stretching of soft tissues provided by the occlusal acrylic.

As regards anteroposterior skeletal changes after RME, anterior maxillary displacement was observed by several authors who used conventional expansion appliance (like Haas and Hyrax) and bonded rapid maxillary expansion appliances.<sup>2,6-9,13,14,15,21,26</sup> Bramante and Almeida<sup>7</sup> found no significant differences in anteroposterior changes with the use of Haas/Hyrax-type appliances or bonded rapid maxillary expansion appliances. Sarver and Johnston<sup>20</sup> and Johnson et al.<sup>16</sup> on the other hand, found that anterior maxillary displacement increased when the appliance was used with orthodontic bands, suggesting the use of bonded rapid maxillary expansion appliances to restrict maxillary movement, which is undesirable in patients presenting with skeletal Class II malocclusion.

In the present study it was observed that, following RME, a slight displacement of the maxilla and mandible occurred as could be attested by an increase of  $0.36^{\circ}$  in the SNA angle and  $0.20^{\circ}$  in SNB. Clockwise mandibular rotation was negligible and insufficient to displace point B posteriorly, which justifies the fact that the SNB did not decrease. The fact that SNA underwent a considerable increment relative to SNB caused a  $0.16^{\circ}$  increase in ANB. Skeletal anteroposterior changes, however, were not statistically significant. Contrary to these results, Sarver and Johnston<sup>20</sup> and Asanza et al<sup>2</sup> reported posterior maxillary displacement after the use of bonded rapid maxillary expansion appliances. In this study, although SNA increased in most patients, there were cases where SNA decreased and cases where SNA remained stable (ranging from 1.15° to -0.43°), as must have been the case with Sarver and Johnston,<sup>20</sup> who found an average 0.75° decrease in SNA, and Asanza et al,<sup>2</sup> whose average SNA decrease was 0.66° (ranging from -3.6° to 1.7°). Thus, any divergence in the results can be explained by the variability of the samples used in each study.

Haas<sup>14,15</sup> and Biederman<sup>5</sup> reported anterior maxillary displacement after RME, which aids in the correction of skeletal Class III malocclusion and anterior crossbite. After the retention period, however, values tend to revert close to those found at the start.<sup>7,9,13,14</sup> The relapse of anteroposterior cephalometric changes after RME using Haas-type appliance was also found using Hyrax-type and bonded rapid maxillary expansion appliances.<sup>7,9,19</sup> The maxilla is projected anteriorly as an immediate response to therapy, but throughout the retention period it tends to return to the starting position, which may explain the fact that anterior maxillary displacement was significant in some studies where analysis was carried out immediately after expander activation<sup>3,5,8,14,15</sup> and not in others where, similarly to the present study, assessments were made after the retention period.<sup>7,9,13,19,21</sup>

Based on the results of this study, where increases in SNA, SNB and ANB were not significant, RME, by itself, should not be performed with the purpose of accruing any possible benefits from anteroposterior changes in the maxilla and/or mandible. In cases where, in addition to RME, maxillary advancement also proves necessary, treatment should include the use of specific appliances for maxillary protraction after the phase of expander activation. Similarly, although vertical changes were not significant, in cases of transverse discrepancy associated with a predominance of vertical growth, the latter should be treated with orthopedic appliances for this specific purpose during the active phase of RME.

Cephalometric variations found in this study were small and may have been caused by measurement errors or normal changes expected during growth. We therefore believe that expansion bonded rapid maxillary expansion appliances present an option for the correction of posterior crossbite and maxillary atresia, regardless of vertical problems and the patient's facial pattern. By not using bands clinical work is reduced, facilitating the preparation and installation of the bonded rapid maxillary expansion appliance. However, one should pay special attention to occlusal adjustment to ensure that the contact of the acrylic with the lower teeth is bilateral and balanced, thereby preventing the appliance from falling while reducing patient discomfort.

Finally, it should be underscored that our sample was selected based only on reduced

maxillary transverse dimension and we did not take into account any aspects related to growth pattern and maxillomandibular sagittal relationship. Further investigation is therefore needed involving a sample that is standardized according to growth pattern and maxillomandibular relationship with the aim of raising awareness about the possible benefits brought by bonded rapid maxillary expansion appliances to Class II and hyperdivergent patients.

#### CONCLUSIONS

In view of the specific conditions of this study, it can be concluded that rapid maxillary expansion performed in children using bonded rapid maxillary expansion appliance did not bring about any vertical or anteroposterior cephalometric changes.

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