

# Treatment of snoring and sleep apnea syndrome with a removable mandibular advancement device in patients without TMD

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**Introduction:** Among the sleep disorders reported by the American Academy of Sleep, the most common is obstructive sleep apnea-hypopnea syndrome (OSAHS), which is caused by difficulties in air passage and complete interruption of air flow in the airway. This syndrome is associated with increased morbidity and mortality in apneic individuals.

**Objective:** It was the objective of this paper to evaluate a removable mandibular advancement device as it provides a noninvasive, straightforward treatment readily accepted by patients.

**Methods:** In this study, 15 patients without temporomandibular disorders (TMD) and with excessive daytime sleepiness or snoring were evaluated. Data were collected by means of: Polysomnography before and after placement of an intraoral appliance, analysis of TMD signs and symptoms using a patient history questionnaire, muscle and TMJ palpation.

**Results:** After treatment, the statistical analysis (t-test, and the “before and after” test) showed a mean reduction of 77.6% ( $p=0.001$ ) in the apnea-hypopnea index, an increase in lowest oxyhemoglobin saturation ( $p=0.05$ ), decrease in desaturation ( $p=0.05$ ), decrease in micro-awakenings or EEG arousals ( $p=0.05$ ) and highly significant improvement in daytime sleepiness ( $p=0.005$ ), measured by the Epworth Sleepiness Scale. No TMD appeared during the monitoring period.

**Conclusion:** The oral device developed in this study was considered effective for mild to moderate OSAHS.

**Keywords:** Snoring. Obstructive sleep apnea. Mandibular advancement. Occlusal splints.

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## INTRODUCTION

One often hears that when a person is asleep and snoring, it means that they are fast and sound asleep. It couldn't be farther from the truth. A partial obstruction in the upper airway causes snoring while a complete obstruction leads to sleep apnea, which is the total cessation of airflow. These events comprise what is known as Sleep Apnea and Hypopnea Syndrome (OSHAS) and lead to serious consequences such as systemic and pulmonary hypertension, excessive daytime sleepiness, impaired memory, irritability, depression, decreased libido, impotence, burnout, headache and a proneness to workplace and car accidents. Apnea is characterized by an interruption in airflow (breathing) for at least ten seconds. Hypopnea is a 50% reduction in airflow accompanied by a "micro-awakening" or EEG arousal and/or a drop in blood oxyhemoglobin saturation (SaO<sub>2</sub>) of about 2% to 4%.<sup>4</sup>

It is diagnosed by examining sleep with polysomnography (PSG), which consists of simultaneously recording activities occurring in the organism during a given period and tracking not only the number of apneas and hypopneas during the patient's sleep but also defining OSHAS severity using the apnea-hypopnea index (AHI), which is the sum of apnea and hypopnea events per hour of sleep.<sup>6</sup>

Several authors have confirmed the validity and effectiveness of oral appliances for OSHAS treatment.<sup>1,2,5,8,9,10,16</sup> Appliances that reposition the mandible anteriorly are indicated for primary snoring and mild to moderate OSAHS with an AHI of up to 30.<sup>4,9</sup>

In OSAHS patients this therapy can be effective even in the presence of other diseases such as congestive heart failure and arterial hypertension,<sup>8,19,30</sup> thereby contributing to clinical control.

In treating OSHAS a correct diagnosis is of paramount importance, as well as a multidisciplinary team with training and knowledge of sleep disorders, and a reliable treatment indication for each case. Dentists should be part of this team as they play a key role both in the initial diagnosis, by referring the patient to polysomnography, and in the treatment itself after the examination.

The purpose of this study was to develop an alternative intraoral appliance model for treating OSHAS, evaluate its effectiveness and magnitude of changes in AHI, and observe the side effects and development

of potential TMD (temporomandibular dysfunction) signs and symptoms in the follow-up phase.

## MATERIAL AND METHODS

The study evaluated 15 patients among those who presented voluntarily at the Clinic of Sleep Disorders located in Bauru, Brazil, reporting excessive daytime sleepiness and/or snoring. Patients had a mean age of 49.9 years.

Initially, patients answered a questionnaire, the Epworth Sleepiness Scale (ESS), designed to measure their daytime sleepiness in specific situations,<sup>15</sup> including patients with ESS scores greater than five. Examination to check for the presence of Temporomandibular Disorders (TMD) began with the patient's clinical history using the Anamnesis Index (AI) questionnaire.<sup>7,11,18</sup> The results were compared with the AI table, which classifies patients' TMD, thus: 0-15, no TMD, 20-40, mild TMD, 45-65, moderate TMD, 70-100, severe TMD. Thereafter, a physical and clinical examination was performed by digital palpation of the right and left TMJs and muscles (superficial and deep masseter, medial pterygoid and temporal). Patient response to TMJ palpation was graded as suggested by Pertes and Gross<sup>21</sup> and as performed by Miranda.<sup>18</sup> Therefore, assessment of the development of TMD signs and symptoms was performed by comparing AI before and after treatment, and also by physical examination, i.e., palpation of masticatory muscles and TMJ.

Exclusion criteria were as follows: AI higher than 15 (since subjects with AI between zero and 15 are considered without TMD, and above 15, with TMD) or presence of TMD signs and symptoms, moderate or severe periodontal disease, lower than 6 mm maximum mandibular protrusion and less than 35 mm mouth opening.

All patients underwent polysomnography (PSG) in the Clinic of Sleep Disorders before and after wearing the appliance. The average time interval between PSGs was five months.

During fabrication, the adjustable mandibular advancement splints were waxed, made with an opening from canine to canine (1.5 mm on average) and processed. Stainless steel wires were also used for mechanical support to prevent the acrylic from fracturing. A 13 mm palate expander screw was

employed to join the maxillary to the mandibular portion of the splint (Fig 1).

At each visit after splint insertion it was advanced by 0.25 mm until the mandibular advancements reached 1 mm within a two-week period. Patients were monitored on a weekly basis and, after reporting an improvement in snoring and sleepiness, were referred for a second PSG. After approximately six months wearing the splint, the patients were examined again to assess TMD development.

The tests used for statistical analysis of the results included Student's t-distribution test for small samples, with degree of freedom (df) lower than 120 and the "before and after" test which, in simple comparative experiments with samples that have the same origin, ensures greater accuracy than t-test. Both tests were applied to ensure outcome reliability.

## RESULTS

Anthropometric data of the subjects who participated in the research are presented in Table 1.

The data were statistically evaluated by Student's t-test and confirmed by the "before and after" test. Assessment results of daytime sleepiness before and after splint use are depicted in Table 2.

All patients exhibited decreased ESS values, noting that the treatment reduced excessive daytime sleepiness in a highly significant manner in 87% of the patients ( $p=0.005$ ).

It is noteworthy that AI variation was not sufficient to affect the TMD classification of any patient, i.e., it did not increase over 15. On physical examination by

muscle palpation 14 patients showed no significant differences after treatment compared to muscle palpation values found prior to treatment.

During mandibular advancement therapy patients 6 and 15 (13.3%) developed morning muscle pain.<sup>10</sup> Treatment included the prescription of oral medication (Celecoxib 200 mg) once a day for three days. The mandibular advancement was halted for a week and then restarted at 0.25 mm per week.<sup>2</sup> The two patients were left with the splint positioned 1 mm below the 75% of maximum protrusion mark. These two patients never again exhibited TMD signs and symptoms.

Table 4 presents the oxyhemoglobin saturation index, number of arousals and number of apneas and hypopneas occurring per hour during the first and second PSG.

On the one-tailed Student's table for  $p=0.05$  and  $df=14$ ,  $t_c = 1.761$  with 95% confidence for the situation "with splint", the amount of oxyhemoglobin desaturation events decreased significantly.

For the one-tailed test  $t_c=1.761$  (hence  $t_o > t_c$ ), the number of arousals "after" is significantly lower than "before."

Snoring, as evaluated by PSG, improved in all patients to acceptable levels and was suppressed or not observed in patients 4 and 15 (moderate frequency level) and in patients 5 and 8 (high frequency).

AHI before treatment had a baseline mean of 18.3 ( $SD=11.8$ ). After treatment, the AHI displayed a mean of 4.1 ( $SD=3.8$ ,  $p=0.001$ ). Thus, the outcome for "after" is significantly less than for "before", i.e., there was a highly significant reduction in AHI.



**Figure 1** - Adjustable mandibular advancement splints used in patients with OSAHS.

**Table 1** - Anthropometric data of research participants.

Subjects	Gender	Age (years)		Height (m)	Weight (kg)		BMI	
		Before	After		Before	After	Before	After
P1	Male	35	37	1.72	83	80	28	27
P2	Male	39	42	1.69	102	103	36	36
P3	Male	40	43	1.72	78	76	26	26
P4	Female	50	52	1.48	51	52	23	24
P5	Female	59	60	1.61	70	78	27	30
P6	Female	63	64	1.8	97	97	30	30
P7	Male	28	28	1.87	114	116	33	33
P8	Female	54	55	1.57	69	69	28	28
P9	Female	40	42	1.66	63	63	23	23
P10	Female	55	55	1.57	94	94	38	38
P11	Male	63	65	1.68	92	93	33	33
P12	Male	54	56	1.69	79	76	28	27
P13	Male	57	58	1.58	66	66	26	26
P14	Male	56	57	1.67	83	83	30	30
P15	Male	47	47	1.68	75	75	27	27

**Table 2** - Results of the Epworth Sleepiness Scale (ESS) before and after splint use.

Subjects	Before	After
P1	8	3
P2	6	2
P3	7	2
P4	11	3
P5	12	6
P6	8	7
P7	14	6
P8	13	10
P9	6	2
P10	6	2
P11	10	5
P12	6	3
P13	10	4
P14	13	3
P15	13	6

**Table 3** - Anamnesis Index (AI) results before and after splint use.

Subjects	Before	After
P1	8	4
P2	6	6
P3	15	0
P4	5	5
P5	15	10
P6	13	7
P7	0	0
P8	3	0
P9	0	0
P10	7	7
P11	6	0
P12	0	0
P13	15	4
P14	15	5
P15	15	5

**Table 4** - Oxyhemoglobin saturation index (%) and number of arousals and obstructive events per hour (AHI).

Subj.	Lowest Saturation		Desaturation		Micro-awakening		AHI	
	Before	After	Before	After	Before	After	Before	After
P1	91.0	92.0	1.0	0.0	11.9	1.8	11.3	0.0
P2	77.0	81.0	17.0	7.0	32.8	0.0	26.8	3.3
P3	87.2	89.0	25.0	3.0	20.0	0.0	19.3	6.0
P4	86.0	90.0	4.0	0.0	0.0	0.0	18.8	1.8
P5	87.9	92.0	1.0	0.0	0.0	6.6	8.2	0.0
P6	82.0	83.0	31.0	34.0	0.0	0.0	14.1	5.9
P7	82.0	85.0	12.0	2.0	0.0	0.0	9.7	2.6
P8	86.0	87.0	17.0	1.0	19.4	0.0	20.1	0.8
P9	88.0	94.0	0.0	0.0	9.4	0.0	0.7	1.1
P10	88.9	92.0	0.0	0.0	13.4	10.4	6.3	0.6
P11	69.0	72.0	23.0	25.0	23.7	0.0	17.7	10.2
P12	85.6	88.0	8.0	4.0	64.6	12.5	53.5	9.3
P13	77.0	83.0	47.0	32.0	0.0	0.0	22.1	6.0
P14	77.0	83.0	30.0	1.0	0.0	0.0	19.4	2.7
P15	81.0	82.0	24.0	12.0	30.7	0.0	26.4	11.8

## DISCUSSION

The aim of this study was to evaluate the effectiveness of an adjustable intraoral mandibular advancement splint in the treatment of OSAHS.<sup>5,9,19</sup>

Currently, it is recommended that to be considered successful OSHAS treatment using oral splints must comply with the following polysomnographic parameters: A reduction in AHI of at least 50% or a reduction in AHI values below ten.<sup>16</sup>

A highly significant reduction in AHI found in 15 patients, i.e., 77.6% mean reduction, demonstrates that the splints can be indicated for treating snoring, increased upper airway resistance syndrome and OSAHS cases of mild to moderate severity, although some patients with severe OSAHS can also benefit from the therapy, such as patient 12 in this research. When patient 12 was excluded so that the data analysis could be restricted to patients with AHI<30 only, a highly significant improvement in AHI was still found, i.e., a mean 75.9% ( $p=0.001$ ). Nine (81.8%) out of 11 patients with AHI>10 showed a reduction in AHI to values below ten. It was observed that mandibular repositioning caused a reduction in AHI. Upper airway narrowing due to anatomical factors such as a posterior positioning of the

mandible is a risk factor for sleep apnea. In advancing the mandible, the splints widen and stretch the muscles, which concurrently produce an increase in electromyographic activity, widening the airway and improving airflow.<sup>1,2,14,17,19,26</sup>

Thirteen patients (86.7%) exhibited some degree of tooth sensitivity, especially on awakening in the morning. With splint use, five patients (33%) complained of excessive salivation at night and one patient (6.6%) complained of dry mouth at night. In this study, specifically in regard to the development of joint or muscle pain, therapy with splints did not produce definitive or reversible TMD signs or symptoms. Yoshida<sup>29</sup> found these effects in 8.5% of cases and described them as transient. None of the participants was diagnosed with TMD prior to treatment, and after treatment completion (12-month follow-up, on average), no signs or symptoms of TMD-related muscle or joint dysfunction were identified.

It is noteworthy that harmful side effects such as tooth sensitivity (86.7%), dry mouth or excessive salivation (40%) occurred after splint placement but on examination were considered minor or mild.<sup>12,19,23,24,25</sup>



Authors have indicated that the advancement should be between 50% and 75% of maximum mandibular protrusion<sup>2</sup> since such repositioning would be within the measurements of a physiological mandibular protrusion.<sup>22</sup> Six patients had muscle pain and two patients (P6 and P15) presented with moderate pain, which prevented further advancement to 75% maximum protrusion, although the pain was controlled with non-steroidal anti-inflammatory medication. No other changes occurred in AI, indicating no development of TMD signs and symptoms.

The splints were inserted at 60% maximum protrusion and advanced in increments of 0.5 mm to 1 mm within two weeks, unlike other studies, which made weekly advancements of 0.25 mm.<sup>20</sup>

In this study, the mean mandibular advancement was 9.17 mm, ranging from 7 to 12 mm, therefore within what is considered a physiological protrusion length. These results are similar to several studies that proved the effectiveness of splints in mild and moderate OSAHS.<sup>5,9,30</sup>

Advancement was discontinued based on the following criteria: A position that coincided with 75% maximum protrusion and improvement in subjective criteria reported by patients. Clinically, however, defining such criteria can prove elusive. Some authors have therefore suggested an association with objective parameters at the end of mandibular advancement therapy, such as nocturnal pulse oximetry performed at home.<sup>10,28</sup>

Reports of improvement in snoring and daytime sleepiness led four patients (26.7%) to an early referral for a second PSG, but the results showed no change in AHI. This situation showed that the reports of patients and/or of their room-mates are indeed subjective and not a substitute for polysomnography as a therapeutic assessment tool.<sup>9,16</sup> Further advancements were made in these patients and another PSG was performed for each one, except for patient P11, who refused to repeat it and was the only patient who showed no reduction in AHI above 50% (42.4% reduction).

Therapy with the splint caused a direct effect on sleep fragmentation as there was an increase in the lowest oxyhemoglobin saturation index ( $p=0.05$ ) and a significant decrease in total desaturation and number of arousals ( $p=0.05$ ). Clinically, improvement in polysomnographic parameters was found to be related to a significant decrease ( $p=0.05$ ) in ESS.<sup>8,19</sup>

Improvement in snoring levels was observed in all cases and the subjective assessment of spouse confirmed this result. Spouse satisfaction is extremely important since for him or her snoring can cause another sleep disorder, i.e., insomnia.<sup>4</sup>

Long-term studies are warranted to compare the designs and materials used in the splints and to verify the occurrence of any potential desirable or undesirable effects.<sup>3</sup> However, even if significant side effects do occur, a thorough evaluation - pinpointing favorable and unfavorable biological factors - should be conducted and discussed to enable treatment decisions that benefit the patient in the best possible way while gaining control over the important and severe complications of OSAHS. Although treatment using a splint is regarded as a non-invasive therapy, side effects are likely to occur during treatment. Occlusal changes may occur with long-term use, so patients should be strictly controlled.<sup>27</sup>

When patients feel the benefits of therapy using the splint and realize that they are waking up feeling rested, they start wearing it on a daily and continuous basis.<sup>13</sup>

Although this splint was fabricated with materials normally used in dental practice (such as acrylic resin), in light of the limitations of this study and the success criteria adopted for the AHI reduction (above 50%), splint effectiveness was successfully evaluated and the results consistent with those found in the literature.

## CONCLUSIONS

Results indicated that the splint developed for this study proved to be a viable alternative for treatment of mild to moderate OSAHS. Therapy using splints did not developed definitive TMD signs and symptoms during the evaluation period. There was a highly significant reduction in AHI above 50% in 93.3% of patients (mean 77.6%) an increase in lower oxygen saturation, reduction in desaturation, decreased number of micro-awakenings or EEG arousals, and subjective improvement in snoring and excessive daytime sleepiness. Undesirable effects were considered minimal and controllable, and no definitive TMD signs and symptoms were found to occur.

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